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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Attorney Docket No.	RM233d
First Named Inventor	Nolan
Original Patent Number	5,812,978
Original Patent Issue Date (Month/Day/Year)	9/22/98
Express Mail Label No.	EL558236817US

APPLICATION FOR REISSUE OF:  
(check applicable box)

Utility Patent



Design Patent



Plant Patent

## APPLICATION ELEMENTS

1. ☒ \* Fee Transmittal Form (PTO/SB/56)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification and Claims (amended, if appropriate)
3. ☒ Drawing(s) (proposed amendments, if appropriate)
4. ☒ Reissue Oath / Declaration (original or copy)  
(37 C.F.R. § 1.175)(PTO/SB/51 or 52)
5. Original U.S. Patent  
☐ Offer to Surrender Original Patent (37 C.F.R. § 1.178)  
(PTO/SB/53 or PTO/SB/54)  
or  
☐ Ribboned Original Patent Grant  
☐ Affidavit / Declaration of Loss (PTO/SB/55)
6. Original U.S. Patent currently assigned?  
☒ Yes ☐ No  
(If Yes, check applicable box(es))  
☒ Written Consent of all Assignees (PTO/SB/53 or 54)  
☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney

## ACCOMPANYING APPLICATION PARTS

7. ☐ Foreign Priority Claim (35 U.S.C. 119)  
(if applicable)
8. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
9. ☐ English Translation of Reissue Oath/Declaration  
(if applicable)
10. ☒ \* Small Entity Statement(s) ☐ Statement filed in prior application,  
(PTO/SB/09-12) Status still proper and desired
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Other: .....

\* NOTE FOR ITEMS 1 & 10: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

## 14. CORRESPONDENCE ADDRESS

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Rick Martin

Registration No. (Attorney/Agent)

32,267

Signature

Rick Martin

Date

9-22-00

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09/22/00

JCE133U.S. PTO

# REISSUE APPLICATION FEE TRANSMITTAL FORM

Docket Number (Optional)

RM233d

## Claims as Filed - Part 1

Claims in Patent	For	Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
(A) 15	Total Claims (37 CFR 1.16(j))	(B) 37	**** 17 =	x \$9. =	153.	or	x \$ =
(C) 2	Independent Claims (37 CFR 1.16(i))	(D) 12	* 10 =	x \$39. =	390.	or	x \$ =
Basic Fee (37 CFR 1.16(h))				\$345.			\$
Total Filing Fee				\$888.		OR	\$

## Claims as Amended - Part 2

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	*	x \$ =		or	x \$ =
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ =		or	x \$ =
Total Additional Fee					\$		OR	\$

\* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

\*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

\*\*\* After any cancellation of claims

\*\*\*\* If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).

\*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_.  
 A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0617.  
 A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 888.00 to cover the filing / additional fee is enclosed.

9-22-00  
 Date

Rick Martin  
 Signature of Applicant, Attorney or Agent of Record

Rick Martin  
 Typed or printed name

09/22/00  
09/668991  
JES613 U.S. PAT. & TM. OFF.

# REISSUE APPLICATION BY THE INVENTOR, OFFER TO SURRENDER PATENT

Docket Number (Optional)

RM233d

This is part of the application for a reissue patent based on the original patent identified below.

Name of Patentee(s)

Nolan

Patent Number

5,812,978

Date Patent Issued

9/22/98

Title of Invention

Wheelchair Voice Control Apparatus

I am the inventor of the original patent.

I offer to surrender the original patent.

- ☒ Filed herein is a certificate under 37 CFR 3.73(b).
- ☐ Ownership of the patent is in the inventor(s), and no assignment of the patent has been made.

One of boxes 1 or 2 above must be checked.

The written consent of all assignees owning an undivided interest in the original patent is included in this application for reissue

Signature

Date

Typed or printed name

Daniel A. Nolan

The assignee owning an undivided interest in said original patent is Orville K. Hollenbeck and the assignee consents to the accompanying application for reissue

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed

Name of assignee

Orville K. Hollenbeck

Signature of person signing for assignee

Date

9/10/2000

Typed or printed name and title of person signing for assignee

Orville K. Hollenbeck / N/A

PTO  
09/22/00  
Jc813



<b>REISSUE APPLICATION BY THE ASSIGNEE, OFFER TO SURRENDER PATENT</b>		Docket Number (Optional)  RM233d
<p>This is part of the application for a reissue patent based on the original patent identified below.</p>		
Name of Patentee(s): Nolan		
Patent Number 5,812,978	Date Patent Issued 9/22/98	
Title of Invention Wheelchair Voice Control Apparatus		
<p><u>Orville K. Hollenbeck</u> is the assignee of the entire interest in the original patent.</p> <p>I offer to surrender the original patent.</p> <p><input checked="" type="checkbox"/> A certificate under 37 CFR 3.73(b) is attached.</p> <p>I am authorized to act on behalf of the assignee.</p>		
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed</p>		
Name of assignee <u>Orville K. Hollenbeck</u>		
Signature of person signing for assignee <i>Orville K. Hollenbeck</i>	Date 9/10/2000	
Typed or printed name and title of person signing for assignee Orville K. Hollenbeck / N/A		

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT OWNER**

Docket No.  
**RM233d**

Serial No.

Filing Date

Patent No.

5,812,978

Issue Date

9/22/98

Applicant/ **Nolan**

Patentee:

Invention: **Wheelchair Voice Control Apparatus**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:

- ☐ the specification to be filed herewith.  
☐ the application identified above.  
☒ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern or organization exists.  
☐ Each such person, concern or organization is listed below.

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

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☐ Individual☐ Small Business Concern☐ Nonprofit Organization

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF OWNER Orville K. Hollenbeck

SIGNATURE OF OWNER

*Orville K. Hollenbeck*

DATE: 9/10/2000

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

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SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In-Re Patent of:	Nolan	Group Art Unit:	
Patent No.:	5,812,978	Examiner	
Issue:	9/22/98	Attorney Docket	RM233d
For:	Wheelchair Voice Control Apparatus		

Assistant Commissioner for Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT FOR REISSUE**

Dear Examiner:

In the Claims:

21. (New) A system for controlling a motorized wheelchair by vocal sounds from an operator, wherein the motorized wheelchair can be in any one of a plurality of states, including states of movement and non-movement of the motorized wheelchair, said system comprising:

- a controller responsive to electrical signals to change
  - the state of the motorized wheelchair from any one
  - of the plurality of states to another, wherein
  - each of the electrical signals corresponds to a
  - change of state;
- a sound recognition converter for converting vocal
  - sounds into a plurality of said electrical
  - signals;
- a sound transducer for transmitting said sounds from
  - the operator to said recognition converter;
- a seat having a tilt mechanism for tilting the seat
  - relative to a support surface for the wheelchair;
  - and

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wherein the system has a mode in which said sound  
recognition converter converts sounds into  
electrical signals for tilting the seat.

22. (New) The system of claim 21, wherein the system has a mode in which the system is responsive to a sound to move the wheelchair from a first predetermined position to a second predetermined position.

23. (New) The system of claim 21, further comprising an alarm subsystem for emitting an audible alarm in response to a failure of the system to recognize a specific sound from the operator.

24. (New) A system for controlling power driven equipment by a sound from an operator, wherein the equipment can be in any one of a plurality of states, said system comprising:

- a controller responsive to at least one electrical  
signal to change the state of the power driven  
equipment;
- a voice recognition converter for converting a sound  
into said at least one electrical signal; and
- a sound transducer for transmitting said sound from the  
operator to said voice recognition converter, said  
sound transducer comprising a microphone engaging a larynx region of the  
operator.

25. (New) The system of claim 24, wherein said equipment is a motorized wheelchair, and the states of the equipment include states of movement and non-movement of the motorized wheelchair.

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26. (New) The system of claim 24, wherein said voice recognition converter means for converting a plurality of different sounds into the same electrical signal, whereby either of a primary command and a backup command is executed by the system.

27. (New) The system of claim 24, wherein said equipment is a motorized wheelchair, the wheelchair comprises a seat having a tilt mechanism for tilting the seat relative to a support surface for the wheelchair;

wherein said system has a plurality of modes; and

wherein the system has a mode in which said voice  
recognition converter converts vocal sounds into  
electrical signals for tilting the seat.

28. (New) The system of claim 24, wherein the microphone engaging a larynx region further comprises a shield to prevent an input to the system from a person around the operator.

29. (New) A system for controlling power driven equipment by a vocal sound from an operator, wherein the equipment can be in any one of a plurality of states, said system comprising:

a voice recognition converter having a training session  
module in which reference commands are entered  
therein by the operator to serve as a basis for  
comparison with actual commands to be given during  
an operation of the power driven equipment;  
said reference commands can be in any spoken language  
and can be in a form of a non-language sound.

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30. (New) The system of claim 29, wherein said non-language sound further comprises a cough or a guttural sound or a hum.

31. (New) The system of claim 29, wherein said power driven equipment further comprises a wheelchair.

32. (New) The system of claim 30, wherein said power driven equipment further comprises a wheelchair.

33. (New) A system for controlling power driven equipment by a vocal sound from an operator, wherein the equipment can be in any one of a plurality of states, said system comprising;

a vocal sound recognition converter having a principal  
command module and a backup command module;  
said backup command module being activated in cases  
including when a principal command is not  
recognized or when a principal command is not  
given.

34. (New) The system of claim 33, wherein the backup command module further comprises an emergency command.

35. (New) The system of claim 34, wherein said emergency command further comprises an exclamation uttered by the operator in a panic situation.

36. (New) A system for controlling power driven equipment by a sound from an operator, wherein the equipment can be in any one of a plurality of states, said system comprising:  
a sound transducer engagable to a larynx region of the

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operator;

a stored logic machine having a receiver for an output  
from the sound transducer; and  
said stored logic machine responsive to variable  
outputs from the sound transducer to change the  
state of the power driven equipment.

37. (New) The system of claim 36, wherein the power driven equipment is a wheelchair.

38. (New) The system of claim 37, wherein the sound transducer is a microphone.

39. (New) The system of claim 38, wherein the stored logic machine is a microprocessor  
having a program executable therein to instruct a controller to change the state of the  
wheelchair.

40. (New) A system for controlling a motorized wheelchair by sounds from an operator,  
wherein the motorized wheelchair can be in any one of a plurality of states, including  
states of movement and non-movement of the motorized wheelchair, said system  
comprising:

a controller responsive to electrical signals to change  
the state of the motorized wheelchair from any one  
of the plurality of states to another, wherein  
each of the electrical signals corresponds to a  
change of state;

a sound transducer for converting sounds into a  
plurality of said electrical signals; and  
a head operated switch to stop the wheelchair.

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41. (New) A system for controlling a motorized wheelchair by sounds from an operator, wherein the motorized wheelchair can be in any one of a plurality of states, including states of movement and non-movement of the motorized wheelchair, said system comprising:

a controller responsive to electrical signals to change  
the state of the motorized wheelchair from any one  
of the plurality of states to another, wherein  
each of the electrical signals corresponds to a  
change of state;  
a sound transducer for converting sounds into a  
plurality of said electrical signals; and  
a puff and sip control device readily available to the  
operator.

42. (New) A system for controlling a motorized wheelchair by sounds from an operator, wherein the motorized wheelchair can be in any one of a plurality of states, including states of movement and non-movement of the motorized wheelchair, said system comprising:

a controller responsive to electrical signals to change  
the state of the motorized wheelchair from any one  
of the plurality of states to another, wherein  
each of the electrical signals corresponds to a  
change of state;  
a sound transducer for converting sounds into a  
plurality of said electrical signals; and  
wherein said controller further comprises an audio  
confirmation module responsive to a sound from the  
operator.

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43. (New) A system for controlling a motorized wheelchair by sounds from an operator, wherein the motorized wheelchair can be in any one of a plurality of states, including states of movement and non-movement of the motorized wheelchair, said system comprising:

a controller responsive to electrical signals to change  
the state of the motorized wheelchair from any one  
of the plurality of states to another, wherein  
each of the electrical signals corresponds to a  
change of state;  
a sound transducer for converting sounds into a  
plurality of said electrical signals; and  
wherein said controller further comprises an output  
linked to a remote electronic device.

44. (New) A system for controlling a motorized wheelchair by sounds from an operator, wherein the motorized wheelchair can be in any one of a plurality of states, including states of movement and non-movement of the motorized wheelchair, said system comprising:

a controller responsive to electrical signals to change  
the state of the motorized wheelchair from any one  
of the plurality of states to another, wherein  
each of the electrical signals corresponds to a  
change of state;  
a sound transducer for converting sounds into a  
plurality of said electrical signals; and

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wherein said controller further comprises an output  
linked to an onboard head support for moving the  
operator's head.

**REMARK**

Patent owner respectfully requests the addition of the new claims above to the  
reissued patent.

Respectfully Submitted,

*Rick Martin*

Date: 9-22-00

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U 5812978A

United States Patent [19]

[11] Patent Number: 5,812,978

Nolan

[45] Date of Patent: Sep. 22, 1998

[54] **WHEELCHAIR VOICE CONTROL APPARATUS**

[75] Inventor: **Daniel A. Nolan**, Annandale, Va.

[73] Assignee: **Tracer Round Associates, Ltd.**, Alexandria, Va.

[21] Appl. No.: **762,804**

[22] Filed: **Dec. 9, 1996**

[51] Int. Cl.<sup>6</sup> ..... **G01L 3/00**

[52] U.S. Cl. .... **704/275; 704/270**

[58] Field of Search ..... **704/275, 270**

[56] **References Cited**

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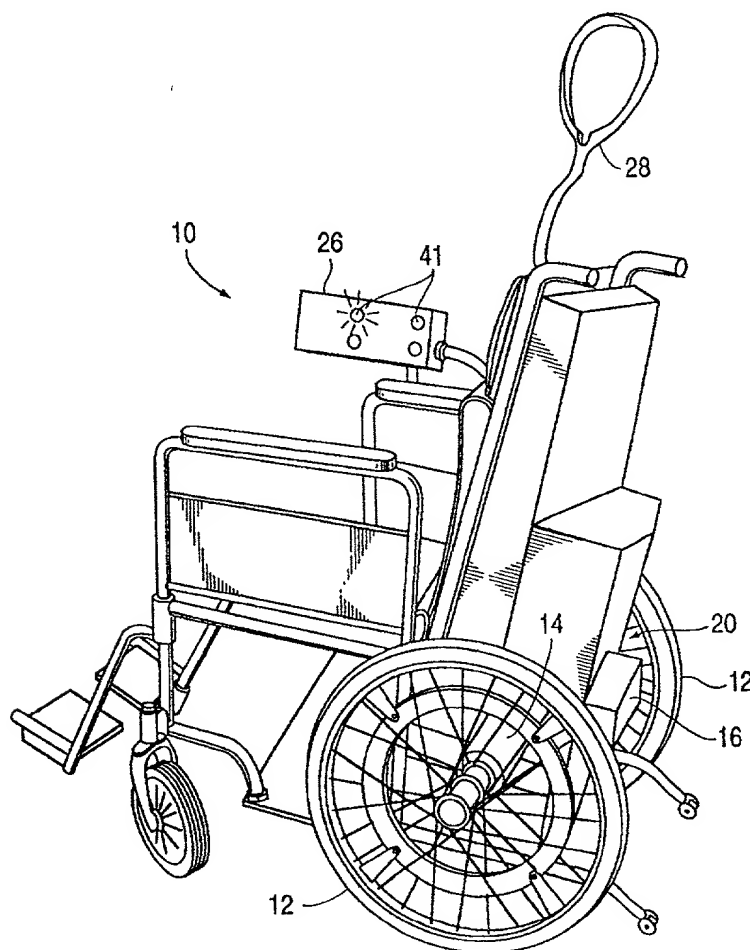
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Primary Examiner—David R. Hudspeth  
Assistant Examiner—Robert Louis Sax  
Attorney, Agent, or Firm—Lane, Aitken & McCann

[57] **ABSTRACT**

A voice-controlled wheelchair has a control system having a plurality of modes of operation in each of which only a limited number of commands for moving the wheelchair are executed. The commands are entered by a throat-engaging microphone, and backup commands are also recognized, including a command based on an excited utterance to stop the wheelchair. The control system is switchable by voice command between a first condition in which it executes other commands and a second condition in which it does not execute other commands.

**20 Claims, 5 Drawing Sheets**



# WHEELCHAIR VOICE CONTROL APPARATUS

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

The present invention relates to a system for controlling the operation of power-driven equipment, particularly motorized wheelchairs, by voice commands

### 2. Description of the Prior Art

Particularly for persons paralyzed from the neck down, the problem of operating a motorized wheelchair has been great.

Various methods have been proposed for allowing disabled persons, including a quadriplegic, to control a motorized wheelchair. For example, breath-controlled wheelchair systems have been proposed in which a disabled person controls the chair by sucking or blowing into one or more tubes. The degree of control which can be provided is limited by the number of tubes used

Voice-controlled wheelchairs have also been proposed, but the variety and precision of the control they afforded was less than is desirable. Furthermore, there was a problem with commands being heard by the control system and the potential for the control system responding to a false command picked up from ambient noise, such as from the voices of people around the wheelchair.

## SUMMARY OF THE INVENTION

In accordance with a preferred but nonetheless illustrative embodiment of the invention, a wheelchair-mounted control system is provided which includes a voice processor which has the capability of converting a preselected set of words or other sounds spoken by the wheelchair's operator as commands into a corresponding set of computer recognizable signals. The preselected set of vocal sounds comprises the commands necessary to control the state of a wheelchair, that is, whether the wheelchair is at rest, moving forward, pivoting left, etc. The control system also includes a computer programmed to respond to the appropriate signals it receives from the voice processor to selectively activate a set of control lines which, in turn, cause a wheelchair controller to control the wheelchair in the manner specified by the commands given by the operator.

A set of commands is programmed into the computer by a training session in which reference commands are entered into the computer by the wheelchair operator to serve as a basis for comparison with actual voice commands to be given during the operation of the wheelchair. The reference commands can be in any spoken language and can even be in the form of non-language sounds, such as coughs, guttural sounds or hums, for operators who are unable to speak words.

The control system is arranged to accommodate backup commands in case a principal command is not recognized or not given. The backup commands can include commands having sounds which are likely to be recognized if the initial commands are not recognized. The backup commands can also include emergency commands, such as an exclamation likely to be uttered by the operator in a panic situation to stop the wheelchair. It is also contemplated that any of the commands can be based on the characteristic frequencies of the operator's voice, such that the frequencies of the sounds given as commands must match the frequencies entered into the memory of the computer during the training session in order that the system recognizes and responds to the commands.



The control system operates in various modes, in at least one of which the control system recognizes and responds to fewer than all of sounds, or commands, that the control system recognizes and responds to overall. For example, in a STOP mode, the control system does not recognize a sound or command to GO LEFT, whereas the same command given while the control system is in a FORWARD mode causes the wheelchair to turn to the left by a predetermined amount while moving forward and to continue to move forward in the new direction.

The system includes a microphone applied directly to the larynx of the operator and shielded by outside coverings to prevent input to the system of vocal commands from persons around the operator. A display visible to the operator indicates the vocal command being executed by the control system, and an audible indicator alerts the operator to certain system conditions, such as the inability of the system to recognize a command.

Although an illustrative embodiment described herein relates to application of the present invention to a motorized wheelchair, the present invention is also applicable to other motorized and other power-driven devices. The other power-driven devices include, but are not limited to, other vehicles and personal carriers including beds, therapy devices, electromechanically augmented powered skeletal supports, and television, stereo and other electronic equipment.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view of a motorized wheel chair employing the control system according to the present invention;

FIG. 2 is a block diagram of the components of the control system according to the present invention;

FIG. 3 is a diagram of commands and modes of operation for the control system according to the present invention;

FIG. 4 is a schematic view of a wheel chair according to the present invention showing a mechanism for reclining the operator; and

FIG. 5 is a schematic view of an arrangement for adjusting the positions of the seat and seat back of a wheelchair according to present invention.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENT

As can be seen from FIG. 1, a wheelchair 10 has main wheels 12, an electric motor 14 drivingly connected to one of the main wheels, and a similar electric motor (not shown) drivingly connected to the other of the main wheels. The electric motors 14 are, for example, DC motors. The wheelchair 10 has two known types of brakes (not shown)—brakes of a first type physically contacting the main wheels 12, and brakes of a second type on the electric motors 14 providing power to the main wheels. The power for driving the motors 14 is from by a conventional battery unit 16 providing 24 volts DC.

As can be seen from FIGS. 1 and 2, the wheelchair 10 also comprises a control system designated generally by the numeral 20, the control system including a computer 22, a wheelchair controller assembly 24, a controller interface module 25, an LED visual display 26 mounted in a position where it is visible by the operator, and a microphone 28 for entering commands into the control system. A training station 30, which includes, for example, a keypad, mouse and video monitor, is also provided for programming the control system at a time when the wheelchair is not in normal operation.

A sound interpretation module 32, or card, is known and is installable on the computer 22. A suitable sound interpretation module is commercially available under the name Creative Design Sound Card from Creative Technology Ltd. of Singapore. The computer 20 is connected to the controller interface module 25 through the LED visual display 26, which also acts as a switch box. The controller interface module 25 converts signals from the computer 20 to the opening and closing of electrical circuits in the controller assembly 24 to control the flow of current to the motors 14 and the brakes in various ways to change the states, that is, to control the movement of the wheelchair 10.

The wheelchair controller assembly 24 includes the switches which are actuated and the circuits which are affected by the actuation of the switches to control current to the motors and brakes. The wheelchair controller assembly 24 can comprise a conventional joystick wheelchair controller assembly except that the joystick itself is omitted. Rather than the position of a joystick determining which switches are actuated and, therefore, what combination of motors and brakes is operated, electrical signals are transmitted from the interface controller module 25 to the wheelchair controller assembly 24 to operate the switches. The signals can be in the form of numbers of electrical pulses, wherein certain numbers of pulses actuate certain switches or certain combinations of switches in the wheelchair controller assembly 24. A suitable wheelchair controller assembly that included with the Arrow Action Storm wheelchair produced by Invacare of Elyria, Ohio.

FIG. 3 shows the relationships among various modes of operation of the control system 20, which are identified by commands which can be used to place the control system in the modes. FIG. 3 also reflects the states of the wheelchair 10 after the commands are given. As an example, from a first mode of the control system 20, identified in FIG. 3 by a FORWARD box, and corresponding to a state in which the wheelchair 10 is moving forward, the control system 20 is receptive only to the commands STOP, GO RIGHT, or GO LEFT. The control system 20 responds to these commands by placing the wheelchair in the state indicated by the command. As another example, from a second mode of the control system 20, identified in FIG. 3 by a STOP box, and corresponding to a state in which the wheelchair 10 is stopped, the control system 20 is receptive only to the commands FORWARD, BACKWARD, PIVOT RIGHT, and PIVOT LEFT. Each of the boxes of FIG. 3 except TRAIN COMMANDS, RESET and "NOT RECOGNIZED" represents a command, a mode of the control system 20, and a state of the wheelchair 10. Of course, other commands, modes and states can be used instead of or in addition to those disclosed herein. For each mode of the control system 20 only a very limited number of command options must be searched by the computer 22 before the correct option is found and executed. Thus, the control system 20 provides very quick response to the commands. In the embodiment described herein, no more than four commands must be considered from any particular mode of the control system 20.

In any of the modes illustrated in FIG. 3, the control system 20 is switchable by voice command between a first condition in which the control system is responsive only to a single, preparatory command and a second condition in which the control system is responsive to all or some other commands. For example, the voice command WAKE-UP is a preparatory command which can be used to switch the control system 20 from the first condition described above to the second condition described above. From the second

condition, the system recognizes most other commands, such as FORWARD, BACKWARD, PIVOT RIGHT and PIVOT LEFT. In the second condition, the system is also receptive to commands from the training station 30 and a RESET command. From the second condition, the control system 20 is also receptive to a command, such as GO-TO-SLEEP, to return to the first condition

The control system 20 is also programmable to be responsive to a command to actuate an operation or a series of operations by which the wheelchair moves from a first predetermined position, such as next to a bed, to a second predetermined position, such as one suitable for storing the wheelchair during periods of non-use. In this mode, the wheelchair 10 stops when it reaches the second predetermined position. The control system 20 can also be programmed to recognize and respond to a command to move from the second predetermined position to the first predetermined position and then stop. A command for movement from the first predetermined position to the storage position can be "GO PARK", and a command for movement from the storage position to the first predetermined position can be "COME HERE". These commands are entered through a wireless directional microphone positioned at the first predetermined position.

From a STOP mode of the control system 20 and a STOP state of the wheelchair 10, a variety of commands can be given, such as FORWARD, BACKWARD, PIVOT RIGHT and PIVOT LEFT. For each of the BACKWARD, PIVOT RIGHT and PIVOT LEFT commands, the wheelchair 10 continues in the commanded direction until a STOP command is given, at which time motion ceases. A STOP command also ceases motion when the wheelchair 10 is in a FORWARD state. Other commands, such as GO RIGHT and GO LEFT, are also used when the wheelchair 10 is in the FORWARD state. In such cases, the wheelchair 10 turns a predetermined angle in the commanded direction and continues moving forward in the new direction. Furthermore, repeating GO RIGHT or GO LEFT commands results in further turning in the commanded direction by a further predetermined angle. A predetermined incremental angle of 7.5 degrees has been found to be suitable. As was indicated earlier herein, instead of commands like FORWARD, BACKWARD, etc., the control system 20 can be trained to recognize and respond to any sounds, which thereby have the same effect as the FORWARD, BACKWARD, etc. commands described herein. Each command causes a unique electrical signal or set of electrical signals to be transmitted to the wheelchair controller assembly 24 to achieve a specific change in the state of movement or non-movement of the wheelchair 10.

The control system is arranged to accommodate backup commands in case a principal command is not recognized or not given. The backup commands preferably comprise commands having sounds which are likely to be recognized if the initial commands are not recognized. The following is a list of primary commands, shown in the left column, and a list of the corresponding backup commands shown in the right column.

Primary command	Back-up/Alternate commands
go to sleep	relax
wake-up	alert
stop	halt

-continued

Primary command	Back-up/Alternate commands	
forward	reach	5
backward	reverse	
pivot right	rotate starboard	
pivot left	rotate port	
go right	move starboard	
go left	move port	10

The control system recognizes either the primary command or the backup command and takes the commanded action. The backup commands can also include emergency commands, such as an exclamation likely to be uttered by the operator in a panic situation, for example, "Oh, no!", to stop the wheelchair. Any of the commands can be based on the characteristic frequencies of the operator's voice, such that the frequencies of the sounds given as commands must match the frequencies entered into the memory of the computer during the training session.

Any time that a voice command is not recognized by the control system 20 and the wheelchair 10 is moving, the control system causes the wheelchair to stop and emits an audible signal or alarm. The control system 20 acts to stop the wheelchair 10 and emit the signal a short time after a command is not recognized. A suitable time is 0.6 seconds. The control system 20 can be arranged to allow a certain time for recognition of a further command, after a first command is not recognized, before stopping the wheelchair 10 and emitting the signal. The audible signal or alarm is transmitted by an audio feedback system 34 to the operator through an ear phone 36. The use of an ear phone 36 assures that the operator will know that the voice command is not recognized, despite the presence of noise around the operator and despite any hearing loss the operator might suffer from.

The microphone 28 is a throat microphone of a known type which contacts the larynx for clear, positive transmission of sound vibrations and for clear distinction from ambient voices and sounds. Except for a sensing portion which contacts the larynx, the microphone 28 has a covering which shields out ambient sounds and, thereby, prevents the control system 20 from operating in response to a false command from ambient voices and sounds. A suitable microphone is commercially available as Lash II Headset from Television Equipment Associates of South Salem, N.Y.

The computer 22 can be a conventional business or home personal computer operating from the battery unit 16 of the wheelchair 10 through the recharging socket. This ensures that the computer 22 can be removed from the wheelchair 10 so that it may be employed for other purposes when not serving as a mobility device. The battery unit 16 is a standard unit providing 24 VDC and delivering 2.5-5 V to the computer 22. The computer 22 employs reducers and inverters to allow operation with both current from the battery unit 16 and household current. Such a computer is more powerful than is required for the control system 20 but, besides being useful for other purposes when the wheelchair 10 is not in use, the computer is well-suited for easy physical connection to commercially available sound interpretation modules 32. Instead of a personal computer, a microprocessor can be used as the computer 22.

An electronic balun 38, connecting to the computer 22 at the ports for the screen, keyboard and mouse connection, substitutes for the lack of conventional input/output. No keyboard, mouse, joystick or video screen is connected during the operation of the wheelchair 10. The use of the

balun 38 allows for attachment of these devices for installation, fitting, maintenance and service at a later date without interrupting operation. The balun device acts as a surrogate for the normal man-machine interfaces which, when not connected or accounted for, require the computer to issue a fault/error condition which prohibits the computer from operating. A suitable balun is the Guardian balun from Raritan Computers, Inc. of Schenectady, N.Y.

Emergency stopping mechanisms can be employed to override any failure in the control system 20, including in the system voice recognition software in the sound interpretation module 32. The first mechanism is an alternate action head switch which is operated by slight movement of the head to deactivate the wheelchair control system. The second is a conventional puff and sip control device readily available to the operator.

The computer 22 controls the controller interface module 25 according to the vocal commands received. The controller interface module 25 controls the controller 24, which controls the motors 14 and brakes. The controller interface module 25 is attached to the normal I/O port of the computer 22, using either parallel or serial methods. The controller interface module 25 converts impulses received from the computer port and translates them into circuit opening or closing functions to open and/or close circuits in the controller assembly 24.

Output from the control system 20 to the operator is necessary for confirmation and to provide meaningful information. The primary means of output employs lamps 42 on the visual display 26, indicating which lines from the controller interface module 25 to the controller assembly 24 are in operation. Two conditions drive audio feedback from the computer 22, the first is an audio bell alarm which rings when the command is not recognized. The second is based on user query. The operator may request system status or request audio confirmation of command or available/acceptable word commands which have been programmed.

The training station 30, which is usually detached and used only during training, includes a computer video screen, a computer keyboard, a mouse, trackball or other cursor control device, and an optional modem, if it is not contained in the computer 22 mounted on the wheelchair 10. Since the control system 20 must be "trained" to recognize the individual voice (pitch, accent, etc), the commands needed to move the particular model of chair need to be installed.

As can be appreciated from FIGS. 4 and 5, vocal sounds can be used with the motorized wheelchair 10' according to the present invention not only to control the travel of the wheelchair, but also to control the states of other devices, such as devices to control other functions associated with the wheelchair. For example, as can be seen from FIG. 4, the control system 20 according to the present invention can be used to control, by voice command, a tilt or recline position of a seat 42 and seat back 44 of the wheelchair 10'. In FIG. 4, the wheelchair 10' according to the present invention is shown having main wheels 12', pivoting wheels 46, a platform 48 supported by the main wheels and pivoting wheels and a seat frame 50 supported for tilting, or reclining, movement relative to the platform 48. In the embodiment shown in FIG. 4, a connecting member 52 connects the platform 48 to a hub 49 of the main wheels 12', and the seat frame 50 is pivotally mounted relative to the platform by a pivot connection 54 secured to the connecting member 52.

The seat frame 50 includes a base member 56 and an upright member 58 at a fixed orientation relative to the base member. The seat frame 50 also includes arms 58 and members 60 for supporting the seat 42. Foot rests 61 are connected to

the seat frame 50 for movement therewith. A control device 62, such as a servomechanism of a type which is conventional, is connected between the platform 48 and the base member 56 of the seat frame 50. The control device 62 includes an element which is moveable in response to a signal from the control system 20 to increase or decrease the height of a forward end of the base member 56 of the seat frame 50 to increase or decrease the amount of recline of the seat 42 and the seat back 44, along with the arms 58 and the foot rests 61. Thus, the control device 62 is controlled in much the same manner as the motors 14 and brakes of the wheelchair 10.

As can be appreciated from FIG. 5, vocal sounds can also be used with the wheelchair 10 according to the present invention to adjust the positions of the seat 42 and the seat back 44. Both the seat 42 and the seat back 44 may have cushions of various configurations. Control devices 64, 68 and 70, such as servomechanisms, are positioned between the seat support member 60 and the seat 42, and between the upright member 58 and the seat back 44. Various combinations of actuation of the individual control devices 64-70 provide the capability to move the seat 42 and the seat back 44 in various ways. For example, the seat 42 can be raised or lowered and/or tilted forward or rearward. Similarly, the seat back 44 can be moved forward or rearward and/or tilted more forward or more rearward. Various known arrangements of seats, seat backs and servomechanisms can be used, the important consideration being that they are operable by voice commands in connection with the present invention.

Some wheelchair operators are unable to support their heads. Accordingly, supports are known for holding up the head of a wheelchair operator. As can be seen from FIG. 5, the wheelchair 10 according to the present invention includes a head support 72 connected to the wheelchair 10 through, for example, the generally upright member 58 of the seat frame 50. The head support 72 is connected to a head support adjustment mechanism 74 containing an arrangement of one or more servomechanisms for turning the head to the right and left and for tilting the head forward or rearward. The adjustment mechanism 74 is connected to the control system 20 and is controlled by vocal sounds in a manner similar to the manner in which the motion of the wheelchair 10 and the associated equipment, such as the seat 42, are controlled. For example, the turning of the head can be controlled with commands such as ROTATE LEFT and ROTATE RIGHT.

The voice commands of the wheelchair operator are entered into the memory of the computer 22, and these stored commands are later compared with commands received during the operation of the wheelchair 10. When a command received during operation matches a command entered during the training session, the wheelchair responds to the commands. If commands do not match, the wheelchair 10 does not enact the command given and, thereby, the wheelchair is prevented from responding to commands which include either the wrong terms or the right terms, at the wrong pitch, accent, etc.

It will be apparent to those skilled in the art and it is contemplated that variations and/or changes in the embodiments illustrated and described herein may be made without departure from the present invention. For example, the system can be used with beds having motors to change the position of the occupant, and the like. As another example, although the present invention has been specifically disclosed with reference to motorized equipment, particularly motorized wheelchairs, it is applicable to equipment which

is not motorized and yet is controllable by the input of electrical signals. For example, the system can be used with television, in which a state can include being set to receive a particular channel or to produce a certain volume of sound. As other examples, the system can also be used with stereo equipment and other electronics. Accordingly, it is intended that the ongoing description is illustrative only, not limiting, and that the true spirit and scope of the present invention will be determined by the appended claims.

I claim:

1. A system for controlling a motorized wheelchair by vocal sounds from an operator, wherein the motorized wheelchair can be in any one of a plurality of states, including states of movement and non-movement of the motorized wheelchair, comprising:

control means responsive to electrical signals to change the state of the motorized wheelchair from any one of the plurality of states to another, wherein each of the electrical signals corresponds to a change of state;

means for converting vocal sounds into a plurality of said electrical signals,

means for transmitting said vocal sounds from the operator to said converting means, a seat, and means for tilting the seat relative to a support surface for the wheelchair,

wherein said system has a plurality of modes, said converting means in at least one of said modes of said system being capable of converting fewer than all of the plurality of vocal sounds into said electrical signals, whereby the motorized wheelchair can be changed to another one of said plurality of states, but not to any other one of said plurality of states, when said converting means is in said at least one mode, and

wherein the system has a mode in which said converting means converts vocal sounds into electrical signals for tilting the seat.

2. The system of claim 1, wherein said converting means, in several of said modes, converts fewer than all of said vocal sounds into electrical signals, the vocal sounds converted when said converting means is in a first of said several modes comprising a first set, and the vocal sounds converted when said converting means is in a second of said several modes comprising a second set, wherein said first set is different from said second set.

3. The system of claim 1, further comprising means for indicating visually the mode of operation of the system.

4. The system of claim 1, wherein the system has a first condition in which the means for converting vocal sounds into the plurality of electrical signals and a second condition in which the means for converting vocal sounds does not convert vocal sounds into any of the plurality of electrical signals, the system being switchable between the first and second conditions by other vocal sounds

5. The system of claim 1, wherein the system has a mode in which the system is responsive to a vocal sound to move the wheelchair from a first predetermined position to a second predetermined position.

6. The system of claim 1, further comprising means for emitting an audible alarm in response to failure of the system to recognize a vocal sound from the operator.

7. The system of claim 6, wherein said means for emitting an audible alarm comprises an earpiece to be worn by the operator.

8. The system of claim 1, wherein said means for converting vocal sounds comprises means for converting a plurality of different sounds into the same electrical signal,

whereby either of a primary command and a backup command is executed by the system.

9. The system of claim 1, wherein one of said vocal sounds is a vocal sound likely to be uttered by the operator in a state of panic.

10. A system for controlling power driven equipment by a vocal sound from an operator, wherein the equipment can be in any one of a plurality of states, comprising.

control means responsive to at least one electrical signal to change the state of the power driven equipment;

means for converting a vocal sound into said at least one electrical signal; and

means for transmitting said vocal sound from the operator to said converting means while preventing transmission to said converting means of sounds similar to said vocal sound, said transmitting means comprising a microphone engaging the larynx region of the operator.

11. The system of claim 10, wherein the system is a system for controlling power driven equipment by a plurality of vocal sounds from the operator, the control means comprises control means responsive to a plurality of electrical signals, and the system has a plurality of modes, said converting means in at least one of said modes of said system being capable of converting fewer than all of the vocal sounds into said electrical signals, whereby the equipment can be changed from one of said plurality of states to another one of said plurality of states, but not to any other one of said plurality of states, when said converting means is in said at least one mode.

12. The system of claim 11, wherein said converting means, in several of said modes, converts fewer than all of said vocal sounds into electrical signals, the vocal sounds converted when said converting means is in a first of said several modes comprising a first set, and the vocal sounds converted when said converting means is in a second of said several modes comprising a second set, wherein said first set is different from said second set.

13. The system of claim 10, wherein said equipment is a motorized wheelchair, and the states of the equipment include states of movement and non-movement of the motorized wheelchair.

14. The system of claim 11, further comprising means for indicating visually the mode of operation of the system.

15. The system of claim 10, wherein the system is a system for controlling power driven equipment by a plural-



## 10

ity of vocal sounds, the control means comprises control means responsive to a plurality of electrical signals, and the system has a first condition in which the means for converting vocal sounds into the plurality of electrical signals and a second condition in which the means for converting vocal sounds does not convert vocal sounds into any of the plurality of electrical signals, the system being switchable between the first and second conditions by other vocal sounds.

16. The system of claim 10, wherein the system has a mode in which the system is responsive to a vocal sound to move the power driven equipment from a first predetermined position to a second predetermined position.

17. The system of claim 10, further comprising means for emitting an audible alarm in response to failure of the system to recognize a vocal sound from the operator.

18. The system of claim 10, wherein said means for converting a vocal sound comprises means for converting a plurality of different sounds into the same electrical signal, whereby either of a primary command and a backup command is executed by the system.

19. The system of claim 10, wherein said equipment is a motorized wheelchair, the wheelchair comprises a seat and means for tilting the seat relative to a support surface for the wheelchair, and

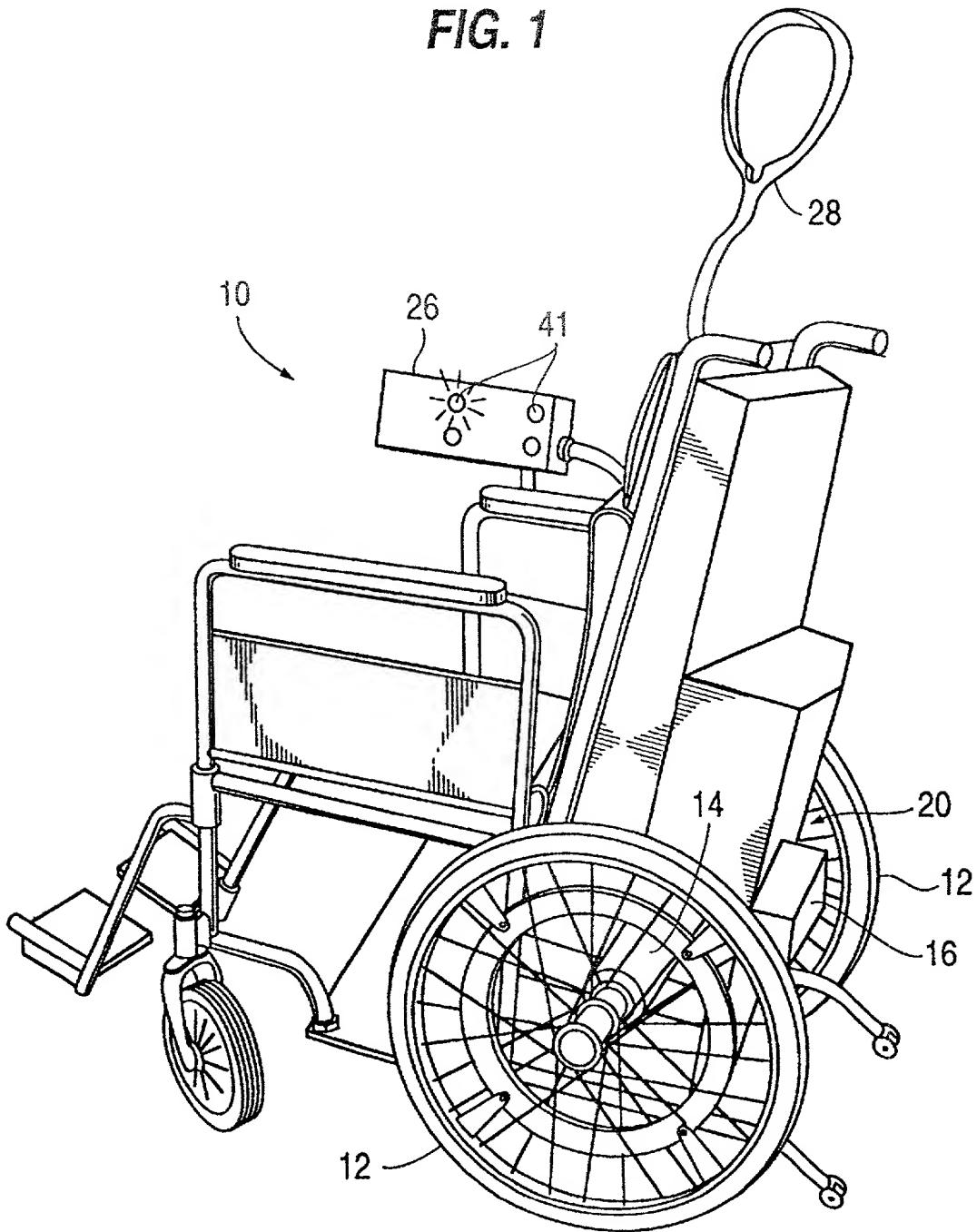
wherein said system has a plurality of modes, said converting means in at least one of said modes of said system being capable of converting fewer than all of the plurality of vocal sounds into said electrical signals, whereby the motorized wheelchair can be changed to another one of said plurality of states, but not to any other one of said plurality of states, when said converting means is in said at least one mode, and

wherein the system has a mode in which said converting means converts vocal sounds into electrical signals for tilting the seat.

20. The system of claim 10, wherein the system is a system for controlling power driven equipment by a plurality of vocal sounds from the operator, and one of said vocal sounds is a vocal sound likely to be uttered by the operator in a state of panic.

\* \* \* \* \*

FIG. 1



**FIG. 2**

## SYSTEM COMPONENT INTEGRATION DIAGRAM

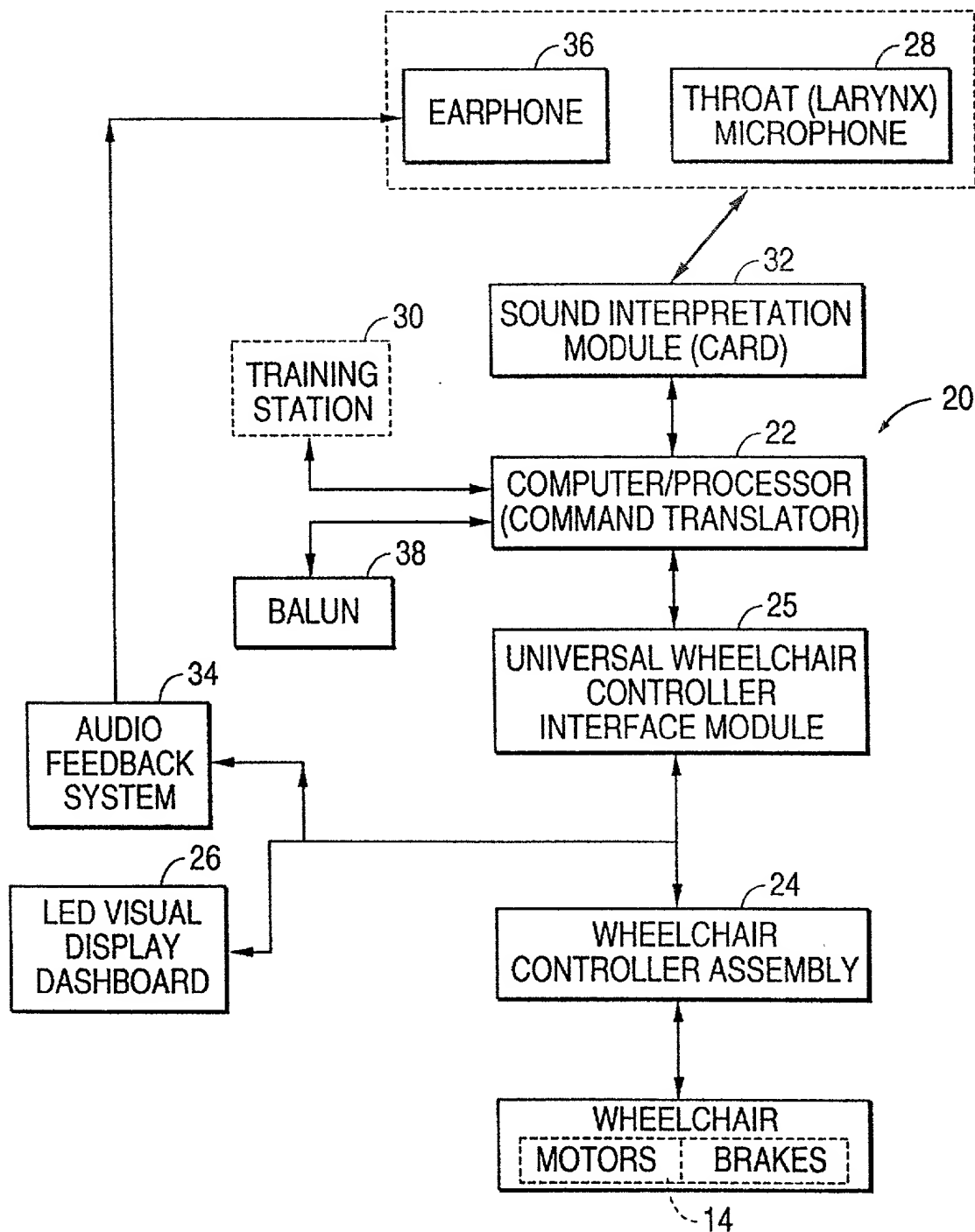
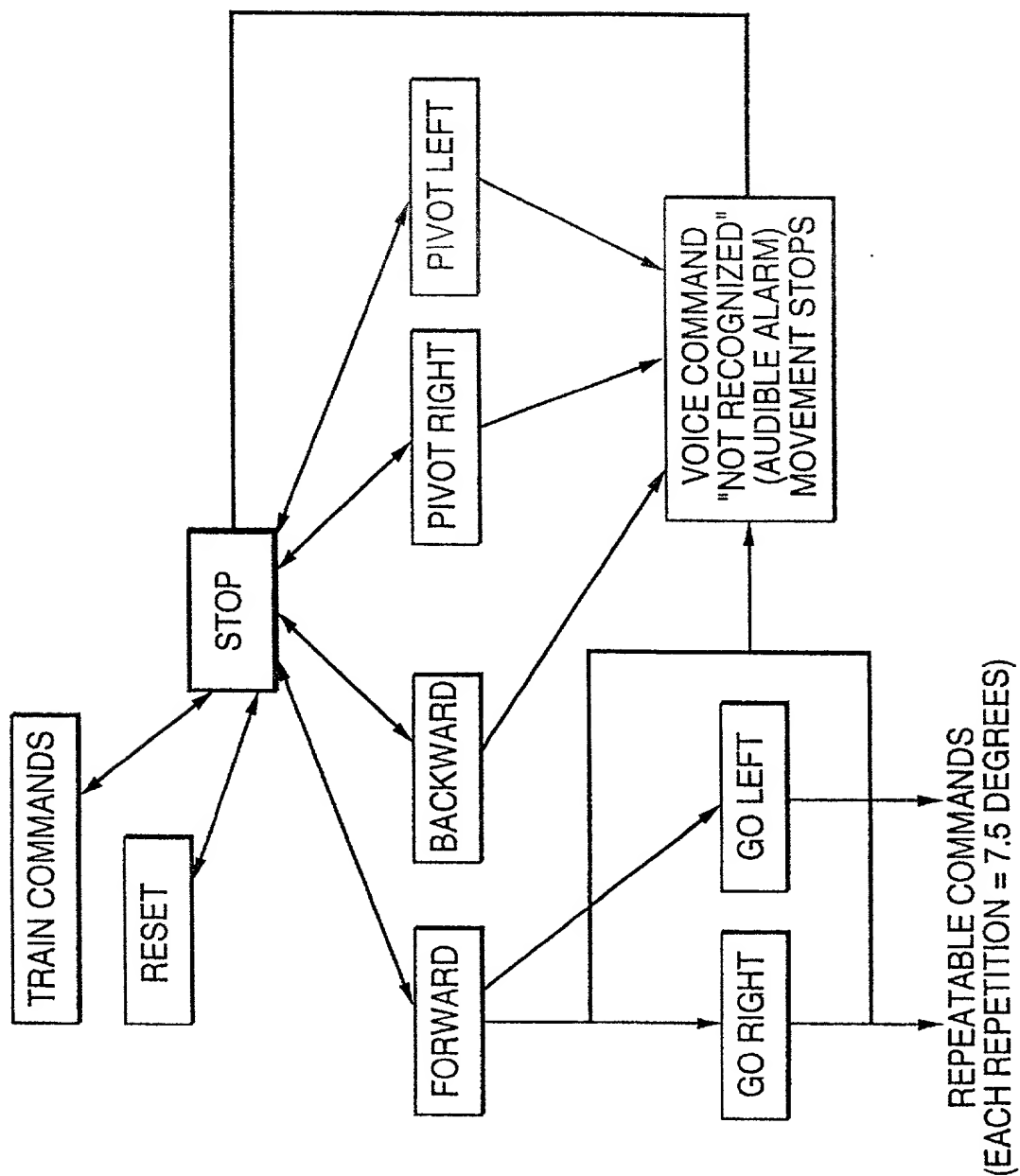
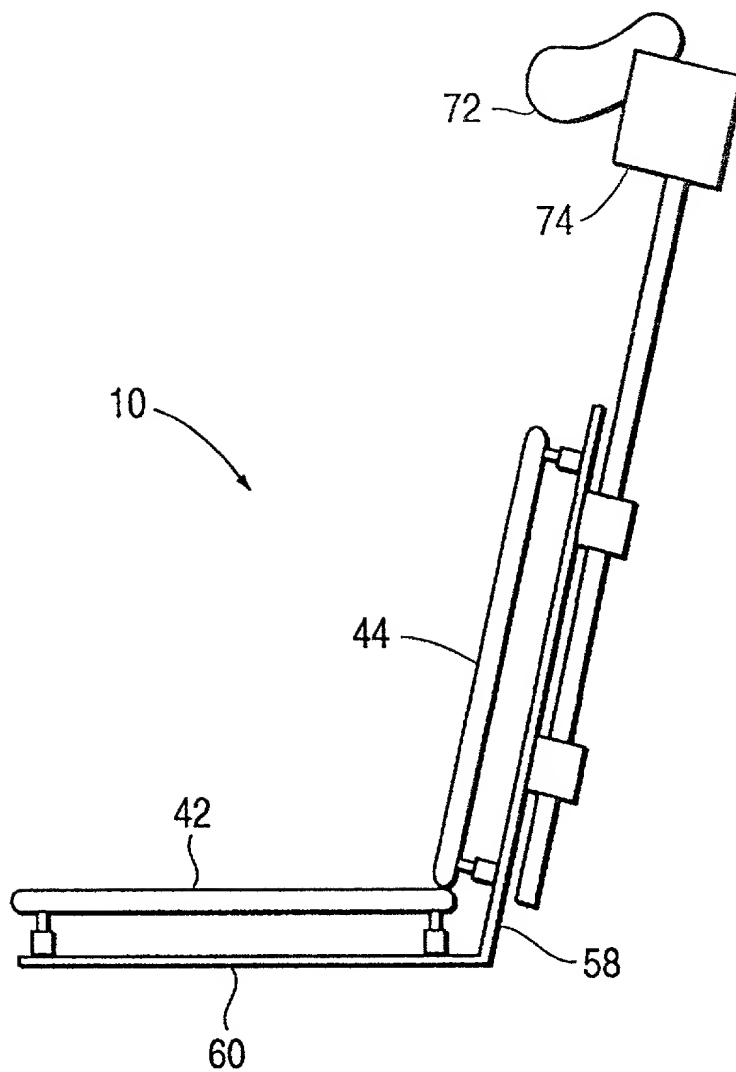


FIG. 3





**FIG. 5**



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REISSUE APPLICATION DECLARATION BY THE ASSIGNEE**

Docket Number (optional)

RM233d

jce13 v. s. pro  
09/68/00  
09/22/00

I hereby declare that:

My residence and post office address and citizenship are stated below next to my name.

I am authorized to act on behalf of the following assignee: myselfand the title of my position with said assignee is: N/A

The entire title to the patent identified below is vested in said assignee

Name of Patentee(s):

Nolan

Patent Number

5,812,978

Date of Patent Issued

9/22/98

Title of Invention

Wheelchair Voice Control Apparatus

I believe said patentee(s) to be the original, first and sole/joint inventor(s) of the subject matter which is described and claimed in said patent, for which a reissue patent is sought on the invention entitled \_\_\_\_\_

the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as reissue application number \_\_\_\_\_ / \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(If applicable)

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below (Check all boxes that apply)

- ☐ by reason of a defective specification or drawing
- ☒ by reason of the patentee claiming more or less than he had the right to claim in the patent
- ☐ by reason of other errors.

At least one error upon which reissue is based is described as follows.

Claims as issued are too narrow.

[Attach additional sheets, if needed]

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant

[Page 1 of 2]

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(REISSUE APPLICATION DECLARATION BY THE ASSIGNEE, page 2)

Docket Number (Optional)

RM233d

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Name(s)

Rick Martin

Registration Number

32,267

Correspondence Address: Direct all communications about the application to:

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23996

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OR

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed

Full name of person signing (given name, family name)

Orville K. Hollenbeck

Signature

Orville K. Hollenbeck

Date

9/10/2000

Address of Assignee 8556 N. Sundown Trail / PO Box 413  
Parker, CO 80134

Patentee

Citizenship

Residence/Post Office Address

Patentee

Citizenship

Residence/Post Office Address



# REISSUE APPLICATION DECLARATION BY THE INVENTOR

Docket Number (Optional)

RM233d

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number 5,812,978, granted 9/22/98, and for which a reissue patent is sought on the invention entitled Wheelchair Voice Control Apparatus

the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as reissue application number \_\_\_\_ / \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(If applicable)

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below (Check all boxes that apply.)

☐ by reason of a defective specification or drawing.

☒ by reason of the patentee claiming ~~more~~ less than he had the right to claim in the patent.

☐ by reason of other errors.

At least one error upon which reissue is based is described as follows

Claims as issued are too narrow.

NOTE: Chain of assignment copies attached as Exhibit A.

[Page 1 of 2]

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(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)

Docket Number (Optional)  
 RM233d

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Name(s) Registration Number

Rick Martin / Reg. No. 32,267

Correspondence Address: Direct all communications about the application to:

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 Individual Name

Address

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State

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Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

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Date

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Citizenship

Post Office Address

Full name of third joint inventor (given name, family name)

Inventor's signature

Date

Residence

Citizenship

Post Office Address